

Memorandum

To: Chair and Commissioners

Date: August 9, 2002

From: Diane C. Eidam, Executive Director

Reference Number 4.2
Information

Ref: **2002 Report of State Transportation Improvement Program (STIP) Balances,
County and Interregional Shares**

Section 188.10 of the Streets and Highways Code, added by SB 45 (1997), requires that the Commission maintain a long-term balance of shares, shortfalls, and surpluses for regional improvement programs. It also requires that the Commission make the balance through the preceding fiscal year available for review by all regional agencies at the time of each fund estimate and by not later than August 15 of each year. The balance is to include shares from the prior fund estimate, amounts programmed in the STIP, surpluses or shortfalls due to reservations or advancements, and adjustments as provided for in statute.

Commission staff has transmitted this year's report to all regions and Caltrans. It includes both county and interregional share balances, with listings of projects programmed from those balances. The balances have been updated to include STIP amendments and votes approved at the Commission's July 18, 2002 meeting. The report's transmittal letter and the summary table of balances are included with this book item. Copies of the full report are available from the Commission office.

Attachments

2002 Report on Share Balances, Ref #4.2

DIANNE McKENNA, Chair
 R. K. LINDSEY, Vice Chair
 BOB BALGENORTH
 JEREMIAH F. HALLISEY
 ALLEN M. LAWRENCE
 JOHN R. LAWSON
 ESTEBAN E. TORRES

SENATOR KEVIN MURRAY, Ex Officio
 ASSEMBLYMAN JOHN DUTRA, Ex Officio

DIANE C. EIDAM, Executive Director



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August 1, 2002

**To: Regional Agencies
 Caltrans**

2002 Report of STIP Balances **County and Interregional Shares**

This is the California Transportation Commission's fifth annual report of State Transportation Improvement Program (STIP) county share and interregional share balances. Section 188.10 of the Streets and Highways Code mandates that the Commission maintain a record of balances and that it make the balances through the end of each fiscal year available for review by regional agencies not later than August 15 each year.

This year's report of share balances includes STIP amendments and allocations approved through the Commission's July 18, 2002 meeting. Among the amendments approved at the July meeting was a set of technical changes and corrections to the 2002 STIP.

The information in this report is provided in three parts:

1. Summary of STIP Share Balances (1 page). This summary includes, for each county share and the interregional program share:
 - The share balances, drawn from the more detailed share summaries that follow.
 - The Advance Project Development Element (APDE) authority and the current total of APDE projects. The APDE was created by AB 1012 (1999) and, in effect, permits an advance of county or interregional share to the extent that the advance is used for project environmental or design work. The APDE authority and project totals are not listed on the individual county summaries, though the individual APDE projects are noted in the project lists.
 - The sum of projects lapsed during the 2001-02 fiscal year. The lapsed total is the amount that has been deducted from each share for projects that were deleted from the STIP because they were not allocated before the end of the fiscal year programmed or by the time of any extension granted. This amount will be added back into the share balances for the new county share period being programmed in the 2004 STIP.
2. Summary of STIP County Shares (statewide total of 83 pages, 1-5 pages per county). In a change from prior reports, this summary now includes amounts for programmed projects grandfathered from the 1996 STIP. For each county, these sheets identify:

- The total county share through June 30, 2001. This amount corresponds to the total county share identified in last year's Report of STIP Balances. It includes all fund estimates since 1998, with adjustments through June 30, 2001.
- Allocations through June 30, 2001. This amount includes STIP allocations from 1998-99 through 2000-01, excluding allocations for 1996 grandfathered projects. These allocations were listed in last year's Report of STIP Balances.

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- Unallocated county share, June 30, 2001. This is the total county share, less the total allocations, as identified above.
- Unallocated 1996 STIP Project Balance, June 30, 2001. This amount corresponds to the total share identified in last year's Report of STIP Balances in a separate table for 1996 STIP grandfathered projects. Under SB 45 (1997), funding for all projects carried forward from the 1996 STIP is grandfathered and is in addition to new funding made available for shares since SB 45.
- 2002 STIP Fund Estimate Formula Share. This amount is from the fund estimate adopted in August 2001.
- Projects lapsed at June 30, 2002. This is the total of programmed amounts that lapsed because the projects were not allocated before the end of the fiscal year or by the time of any extension granted. These amounts are deducted from current share balances and will be added back for the new share period to be programmed in the 2004 STIP.
- The total share balance available, June 30, 2002. This is the total unallocated balance at the end of the prior year, June 30, 2001, plus the new fund estimate share less amounts lapsed at June 30, 2002. It is the amount available prior to deducting projects programmed or allocated after June 30, 2001.
- Project listing. This is a listing by project of the amounts allocated during the 2001-02 fiscal year and the amounts currently programmed from the county share.
- Balance of county share. This is the county's current unprogrammed balance or the balance advanced or overdrawn.

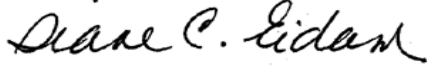
3. Summary of STIP Interregional Share (6 pages). This chart provides the same type of information for the interregional program.

This report includes STIP project listings for the primary purpose of documenting the use and availability of county and interregional shares. Although these listings may provide a useful summary of STIP projects, the project descriptions are very brief and should not be regarded as complete and

authoritative. Caltrans maintains a database with more complete project descriptions, and final authority rests with specific Commission actions and their supporting documentation.

Please direct any comments or questions you may have regarding this report to David Brewer, the Commission's Deputy Director for Highways and Programming, telephone, 916-653-2094, e-mail David.Brewer@dot.ca.gov.

Sincerely,

A handwritten signature in black ink, reading "Diane C. Eidam". The signature is written in a cursive, flowing style.

DIANE C. EIDAM
Executive Director

SUMMARY OF STIP SHARE BALANCES

Including STIP Amendments and Allocations through July 2002

(\$1,000's)

	2002 STIP Regional and Interregional Shares				2002 STIP	Current	FY 2001-02
	Share	Share	Unprogr'd	Balance	APDE	APDE	Lapsed
County	Amount	Programmed	Balance	Advanced	Authority	Projects	Projects
Alameda	234,025	257,455	0	23,430	25,975	1,035	269
Alpine - Amador - Calaveras	63,109	58,814	4,295	0	4,397	0	0
Butte	43,811	40,942	2,869	0	4,967	1,350	966
Colusa	10,346	4,373	5,973	0	1,309	0	0
Contra Costa	157,137	160,830	0	3,693	16,836	5,500	85
Del Norte	11,041	10,703	338	0	1,253	0	0
El Dorado LTC	38,003	46,270	0	8,267	3,179	2,129	0
Fresno	144,770	203,683	0	58,913	17,949	0	453
Glenn	12,644	12,453	191	0	1,398	0	0
Humboldt	56,204	39,968	16,236	0	5,027	0	264
Imperial	90,819	64,885	25,934	0	8,399	0	0
Inyo	74,750	71,849	2,901	0	6,817	2,712	547
Kern	274,075	264,727	9,348	0	23,493	4,455	0
Kings	32,500	32,500	0	0	3,523	0	0
Lake	22,458	5,916	16,542	0	2,152	0	0
Lassen	19,669	20,685	0	1,016	3,196	2,127	5,579
Los Angeles	1,553,399	1,543,338	10,061	0	159,219	0	4,308
Madera	18,676	11,628	7,048	0	3,190	0	350
Marin	64,744	53,860	10,884	0	4,919	0	55
Mariposa	8,773	7,748	1,025	0	1,302	0	0
Mendocino	43,856	40,864	2,992	0	4,745	0	0
Merced	53,591	44,490	9,101	0	5,732	0	695
Modoc	8,296	4,443	3,853	0	1,697	0	0
Mono	50,751	47,833	2,918	0	5,048	3,106	52
Monterey	131,279	131,496	0	217	9,222	7,861	0
Napa	19,851	6,840	13,011	0	3,049	0	0
Nevada	25,891	34,532	0	8,641	2,662	500	0
Orange	392,036	192,689	199,347	0	48,008	0	1,760
Placer TPA	45,418	126,133	0	80,715	5,071	4,700	0
Plumas	17,502	12,536	4,966	0	1,924	0	170
Riverside	460,567	371,662	88,905	0	34,366	0	0
Sacramento	137,326	154,022	0	16,696	22,398	18,486	596
San Benito	16,576	14,714	1,862	0	1,671	0	0
San Bernardino	568,928	629,879	0	60,951	44,725	4,000	0
San Diego	543,711	579,593	0	35,882	52,347	0	674
San Francisco	78,752	88,803	0	10,051	13,273	9,660	52
San Joaquin	123,694	123,418	276	0	11,676	0	1,000
San Luis Obispo	99,629	90,193	9,436	0	9,386	480	742
San Mateo	122,292	115,004	7,288	0	13,669	0	0
Santa Barbara	163,222	141,954	21,268	0	10,723	380	0
Santa Clara	204,157	219,983	0	15,826	30,411	0	813
Santa Cruz	72,544	69,508	3,036	0	5,343	0	7,800
Shasta	38,248	37,892	356	0	5,429	0	313
Sierra	5,471	1,893	3,578	0	906	0	1,035
Siskiyou	31,833	31,726	107	0	3,770	0	0
Solano	65,837	71,199	0	5,362	7,972	0	160
Sonoma	121,533	140,188	0	18,655	9,731	8,000	0
Stanislaus	95,369	66,164	29,205	0	9,042	0	150
Sutter	22,476	24,432	0	1,956	2,044	1,000	0
Tahoe RPA	13,139	11,235	1,904	0	1,360	0	0
Tehama	23,068	18,820	4,248	0	2,725	0	0
Trinity	25,057	25,059	0	2	1,959	0	0
Tulare	127,029	58,797	68,232	0	11,038	0	1,294
Tuolumne	14,359	14,359	0	0	2,227	0	2
Ventura	229,069	264,363	0	35,294	15,732	4,660	0
Yolo	38,948	32,448	6,500	0	4,354	2,759	260
Yuba	16,700	18,265	0	1,565	1,565	3,000	84
Statewide Regional	7,178,958	6,970,056	596,034	387,132	715,500	87,900	30,528
Interregional	2,750,250	2,933,881	0	183,631	238,500	46,977	4,216
TOTAL	9,929,208	9,903,937	596,034	570,763	954,000	134,877	34,744